



2123

102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group 2100

Oct 19 2001

RECEIVED

In re application of: William J. Armstrong et al. : Date: October 9, 2001
Group Art Unit: 2123 : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 09/939,232 : Dept. 917, Bldg. 006-1
Filed: August 24, 2001 : 3605 Highway 52 North
Title: SYSTEM FOR YIELDING TO A : Rochester, MN 55901-7829
PROCESSOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/939,232
Docket No. ROC920000314US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

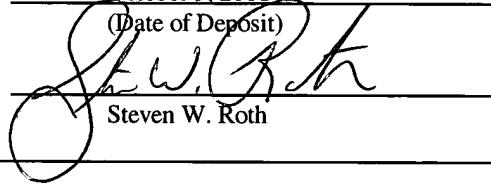
Date: October 9, 2001

Respectfully submitted,

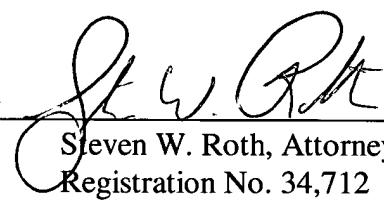
WILLIAM J. ARMSTRONG ET AL.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington,
D.C. 20231, on

October 9, 2001
(Date of Deposit)


Steven W. Roth

By


Steven W. Roth, Attorney
Registration No. 34,712

Telephone: (507) 253-1600
Fax: (507) 253-2382